

## Unitech Pharmaceuticals, Inc.

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Mail Stop Conversion Commissioner for Patents P.O. Bo 1450 Alexandria, VA 22313-1450



Dear Sir,

Enclosed is a conversion of a previously file provisional patent application to a non-provisional patent application.

Previously filed provisional application:

Title: Dynamic platinum compounds for the treatment of cancer

Inventor: Jiajiu Shaw

Application No. 60/405,325 Filing Date: 8/22/2002

Enclosed non-provisional application:

Title: Dynamic platinum compounds for the treatment of cancer

\$ 5km 8/19/03

Inventor: Jiajiu Shaw

Date of deposit by Express Mail: 8/19/2003

Thank you very much for your attention.

(b)(2)(B)(i). Applicant must attach form PTO/SB/35

or its equivalent.

Other: .....

PTO/SB/05 (08-03) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. UTILITY LIAJIU SHAW PATENT APPLICATION First Inventor Dynamic Platinum Compounds for the Treatment of Cancer TRANSMITTAL Title EU691339132US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Mail Stop Patent Application **APPLICATION ELEMENTS** Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 1. 🔽 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. 🔽 Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. Computer Readable Form (CRF) See 37 CFR 1.27. 3. 🔽 Specification [Total Pages\_ (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications Specification Sequence Listing on: Statement Regarding Fed sponsored R & D i. CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention c. Statements verifying identity of above copies - Brief Description of the Drawings (if filed) - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) - Abstract of the Disclosure 9. 🔯 Assignment Papers (cover sheet & document(s)) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets \_\_\_\_ 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney 11. 5. Oath or Declaration [Total Sheets English Translation Document (if applicable) a. V Newly executed (original or copy) Copies of IDS 12. 🔽 Information Disclosure Statement (IDS)/PTO-1449 Citations Copy from a prior application (37 CFR 1.63(d)) **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) name in the prior application, see 37 CFR 16. 🔲 Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b).

Continuation-in-part (CIP) Continuation \_\_\_ Divisional of prior application No.: ..... Examiner Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS OR Correspondence address below Customer Number:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the

17. 🔲

Application Data Sheet. See 37 CFR 1.76

specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

ITAJIU Name SHAW Pkarmaceuticals Address City State Zip Code 48108 Arhox Country Telephone (734)661-133 734)973

Name (Print/Type) Registration No. (Attorney/Agent) 'IAJIU SHAW

This collection of information is required by/37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to/file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments and trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. He you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 60/405,325 Conversion from Application Number 2002 Filing Date for FY 2003 SHAW IAJIL First Named Inventor Effective 01/01/2003. Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit **TOTAL AMOUNT OF PAYMENT** (\$) Attorney Docket No METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Check Credit card Money Order Other 3. ADDITIONAL FEES None Large Entity | Small Entity Deposit Account: Fee **Fee Description** Deposit Code (\$) Code Fee Paid Account 1051 130 2051 65 Surcharge - late filing fee or oath Number Deposit 1052 50 2052 25 Surcharge - late provisional filing fee or Account cover sheet Name 130 Non-English specification 1053 1053 130 The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below Credit any overpayments 920\* Requesting publication of SIR prior to 1804 920 1804 Charge any additional fee(s) during the pendency of this application Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1,840 1805 1.840\* Requesting publication of SIR after Examiner action to the above-identified deposit account. 1251 110 2251 55 Extension for reply within first month FEE CALCULATION Extension for reply within second month 2252 205 1252 410 1. BASIC FILING FEE 2253 465 Extension for reply within third month 1253 930 arge Entity Small Entity Fee Paid Fee Fee Code (\$) Fee Fee Code (\$) Fee Description 2254 1254 1.450 725 Extension for reply within fourth month 985 Extension for reply within fifth month 1255 1,970 2255 1001 750 2001 375 Utility filing fee 375 160 Notice of Appeal 1002 330 2002 165 Design filing fee 1401 320 2401 1003 520 2003 260 Plant filing fee 1402 320 2402 160 Filing a brief in support of an appeal 140 Request for oral hearing 1004 750 2004 375 Reissue filing fee 1403 280 2403 1451 1,510 1451 1.510 Petition to institute a public use proceeding 80 Provisional filing fee 1005 160 2005 1452 110 2452 55 Petition to revive - unavoidable **SUBTOTAL (1) (\$)** 2453 1453 1,300 650 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,300 2501 650 Utility issue fee (or reissue) Fee from Fee Paid Extra Claims below 1502 470 2502 235 Design issue fee **Total Claims** 1503 630 2503 315 Plant issue fee Independent 130 1460 130 Petitions to the Commissioner 1460 Multiple Dependent 50 Processing fee under 37 CFR 1.17(q) 1807 50 1807 Large Entity <sub>I</sub> Small Entity 180 Submission of Information Disclosure Stmt 1806 180 1806 Fee Fee Code (\$) Fee Description 40 Recording each patent assignment per Code (\$) 8021 40 8021 property (times number of properties) 1202 18 2202 9 Claims in excess of 20 375 Filing a submission after final rejection 1809 750 2809 Independent claims in excess of 3 1201 84 2201 42 (37 CFR 1.129(a)) 1203 280 2203 140 Multiple dependent claim, if not paid 375 For each additional invention to be 1810 750 2810 examined (37 CFR 1.129(b)) Reissue independent claims 1204 84 2204 42 over original patent 1801 750 2801 375 Request for Continued Examination (RCE) 900 Request for expedited examination \*\* Reissue claims in excess of 20 900 1205 2205 1802 1802 18 and over original patent of a design application Other fee (specify) SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \*\*or number previously paid, if greater; For Reissues, see above (Complete (if applicable)) SUBMITTED BY Registration No. UILLAI Name (Print/Type) SHAW Telephone 7 1973-8129 Attomey/Agent)

> WARKING: Information on this form may become public. Credit card inf rmation should not b included on this form. Provide credit card information and auth rizati n n PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature